



## STATUTORY DISCLOSURE AND OTHER LEGAL REQUIREMENTS

### DISCLOSURE NOTICE IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT 37 OF 2002

1. PARTICULARS OF FINANCIAL SERVICES PROVIDER			
<b>Name:</b>	Fais It Solutions (Pty) Ltd   Reg No: 2015/038780/07		
<b>Physical Address:</b>	6 Goerap Street, Erasmuskloof, Pretoria, Gauteng, 0181	<b>Postal Address:</b>	Postnet Suite 99, Private Bag X10, Elarduspark, Pretoria, Gauteng, 0047
<b>Telephone Number:</b>	+27-12-942-6050	<b>E-mail:</b>	info@fais-it.com
<b>Legal Status</b>	Fais It Solutions is an Authorised Financial Services Provider in terms of the FAIS Act. FAIS registration number is: <b>45810</b>		
<b>FAIS Registration:</b>	<p><b>In terms of the FSP license, Fais It Solutions is authorised to give advice and render financial services for product(s) :</b></p> <p><b>CATEGORY I:-</b></p> <p><b>Long-term Insurance : subcategory A, subcategory B1, subcategory B2, subcategory B2-A, subcategory B1-A &amp; Category C; and</b></p> <p><b>Short-term Insurance : Personal Lines, Personal Lines A1 &amp; Short-term Insurance : Commercial Lines; and</b></p> <p><b>Retail Pension Benefits &amp; Pension Funds Benefits; and</b></p> <p><b>Participatory interests in a collective investment scheme.</b></p>		
<b>PI Cover:</b>	Fais It Solutions has Professional Indemnity Cover in place.		
<b>Remuneration:</b>	Remuneration will never exceed the statutory maximum rate as per FSCA legislation and regulations.		
<b>Key Individuals:</b>	<p>Lida Muuren-Rozyn: <b>Cell:</b> +27-82-994-1102, <b>E-mail:</b> lida@fais-it.com</p> <p>Come du Toit: <b>Cell:</b> +27-82-789-8888, <b>E-mail:</b> come@fais-it.com</p> <p>Both have RE5 and RE1 Certification and hold an approved qualification.</p>		
<b>External Compliance Officer:</b>	Askari Compliance Services CC   Reg No: 2007/155193/23 (Practice #: 4863) Warren Neale: <b>Cell:</b> +27-82-923-6260, <b>E-mail:</b> warren@askaricompliance.com		
2. INDEPENDENT STATUS OF THE FSP			
The FSP has agreements with other Product Suppliers and is authorised to place business with any of them. The list is available on request. In the past 12 months this business did not earn more than 30% of its income from a product provider. The FSP has no financial interest in any product provider.			
3. COMPLAINTS POLICY AND CONFLICT OF INTEREST POLICY			
If you have a complaint, please contact the FSP. The Complaints Officer will assist you to address the concerns you have. Please note that in terms of the FAIS Act, all complaints must be addressed to the FSP in writing. Should we, as the FSP, not be able to address your concerns to your satisfaction, you may proceed to lodge a complaint with any of the Ombudsmen whose details appear below, in particular with the FAIS Ombud.			
<b>Complaints:</b>	The Complaints Policy can be accessed via: <a href="http://www.fais-it.com">www.fais-it.com</a> or <b>e-mail:</b> <a href="mailto:compliance@fais-it.com">compliance@fais-it.com</a>		
<b>Conflict of Interest:</b>	The Conflict of Interest Policy can be accessed via: <a href="http://www.fais-it.com">www.fais-it.com</a> or <b>e-mail:</b> <a href="mailto:compliance@fais-it.com">compliance@fais-it.com</a>		
4. PARTICULARS OF THE LONG -TERM OMBUDSMAN			
<b>Postal Address:</b>	Private Bag X45, Claremont, Cape Town, 7700	<b>Facsimile Number:</b>	+27-21- 674- 0951
<b>Telephone Number:</b>	+27-21- 657- 5000	<b>E-mail Address:</b>	info@ombud.co.za
5. PARTICULARS OF THE SHORT-TERM OMBUDSMAN			
<b>Postal Address:</b>	PO Box 32334, Braamfontein, 2017	<b>Facsimile Number:</b>	+27- 11-726-5501
<b>Telephone Number:</b>	+27-11-726-8900	<b>E-mail Address:</b>	info@osfi.co.za
6. PARTICULARS OF THE FAIS OMBUDSMAN			
<b>Postal Address:</b>	PO Box 74571, Lynnwood Ridge, 0040	<b>Facsimile Number:</b>	+27-12- 348- 3447 / +27-86-764-1422
<b>Telephone Number:</b>	+27-12-470-9080 / +27-12-762-5000	<b>E-mail Address:</b>	<a href="mailto:info@faisombud.co.za">info@faisombud.co.za</a>